

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	3611936	@ad< "20011114"	US-PGPUB; USPAT	OR	ON	2010/05/27 12:52
L2	2362	creation adj unit	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L3	3917	net adj asset adj value or nav	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L4	1018	etf or exchange adj trad\$6 adj fund\$6 or exchange- trad\$6 adj fund\$6	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L5	11163	spdr or standard near2 poor\$3 adj deposit\$6 adj receipt or ishares or nasdaq adj "100" or xact or diamond adj trust or adr or american near2 deposit\$6 adj receipt	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L6	12056	L4 or L5	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L7	1179	(index or derivative) near3 fund	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L8	12922	L4 or L5 or L6 or L7	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L9	75	L2 and L3 and L8	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
L10	8	1 and 9	US-PGPUB; USPAT	OR	ON	2010/05/27 12:53
S1	726252	Barter\$10 or swap\$10 or exchang\$10	US-PGPUB; USPAT	OR	ON	2007/07/13 06:35
S2	26176	fund	US-PGPUB; USPAT	OR	ON	2007/07/13 06:35
S3	13019	S1 and S2	US-PGPUB; USPAT	OR	ON	2007/07/13 06:36
S4	1231	creation adj unit	US-PGPUB; USPAT	OR	ON	2007/07/13 06:36
S5	2381	net adj asset adj value or nav	US-PGPUB; USPAT	OR	ON	2007/07/13 06:36
S6	31	S4 and S5	US-PGPUB; USPAT	OR	ON	2007/07/13 06:37
S7	3596379	@ad< "20011114"	US-PGPUB; USPAT	OR	ON	2007/07/13 06:37
S8	6	S6 and S7	US-PGPUB; USPAT	OR	ON	2007/07/13 06:47

S9	5071	S3 and S7	US-PGPUB; USPAT	OR	ON	2007/07/13 06:48
S10	554	etf or exchange adj trad\$6 adj fund\$6 or exchange- trad\$6 adj fund\$6	US-PGPUB; USPAT	OR	ON	2007/07/13 06:48
S11	8588	spdr or standard near2 poor\$3 adj deposit\$6 adj receipt or ishares or nasdaq adj "100" or xact or diamond adj trust or adr or american near2 deposit\$6 adj receipt	US-PGPUB; USPAT	OR	ON	2007/07/13 06:52
S12	9091	S10 or S11	US-PGPUB; USPAT	OR	ON	2007/07/13 06:52
S14	593	(index or derivative) near3 fund	US-PGPUB; USPAT	OR	ON	2007/07/13 06:53
S16	9547	S10 or S11 or S12 or S14	US-PGPUB; USPAT	OR	ON	2007/07/13 06:53
S17	171	S9 and S16	US-PGPUB; USPAT	OR	ON	2007/07/13 06:53
S18	0	("2002/0128947").URPN.	USPAT	OR	ON	2007/07/13 07:01
S19	2	("2002/0091616").URPN.	USPAT	OR	ON	2007/07/13 07:01
S20	1	("2001/0025266").URPN.	USPAT	OR	ON	2007/07/13 07:01
S21	25	("20020091616"   "20020099640"   "20020184126"   "20030126062"   "20040044505"   "20040049448"   "5214579"   "5765165"   "5806048"   "5873071"   "5875437"   "5937159"   "5987435"   "6047270"   "6061662"   "6061663"   "6088685"   "6108648"   "6205439"   "6244986"   "6317728"   "6377907"   "6456982"   "6584502"   "6606615").PN. OR ("7099838").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:01
S22	7	("5214579"   "5806048"   "5875437"   "5937159"   "6047270"   "6317728"   "6456982").PN. OR ("6941280").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:02

S23	11	("20010025266"   "5262942"   "5806048"   "5946667"   "5963923"   "5983204"   "6016483"   "6088685"   "6092056"   "6125355"   "6236972").PN. OR ("6879964").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:02
S24	6	("20010025266"   "20020091616"   "6035287"   "6278983"   "6941280"   "7099838").PN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:02
S25	33	("4412287"   "4674044"   "5077665"   "5101353"   "5136501"   "5689652"   "5845266").PN. OR ("6035287").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:03
S26	33	("4412287"   "4674044"   "5077665"   "5101353"   "5136501"   "5689652"   "5845266").PN. OR ("6035287").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:04
S27	73	S18 or S19 or S20 or S21 or S22 or S23 or S24 or S25 or S26	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:04
S29	67	S7 and S27	US-PGPUB; USPAT	OR	ON	2007/07/13 07:04
S30	7	("5806048"   "5873071"   "5946667"   "5950176"   "5978778"   "5983204"   "6035287").PN. OR ("6947901").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:31
S31	33	("4412287"   "4674044"   "5077665"   "5101353"   "5136501"   "5689652"   "5845266").PN. OR ("6035287").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:31
S32	1	("2002/0184126").URPN.	USPAT	OR	ON	2007/07/13 07:31
S33	5	("20020004759"   "20020091611"   "20030050888"   "6035287"   "6112189").PN. OR ("7133847").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:31

S34	20	("4674044"   "5126936"   "5148365"   "5227967"   "5557517"   "5799287"   "5806048"   "5819238"   "5857176"   "5870720"   "5875437"   "5946667"   "6014643"   "6016483"   "6018722"   "6021397"   "6035287"   "6049783"   "6064985"   "6161098"). PN. OR ("7062459"). URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:31
S35	26	("20020004759"   "20020091611"   "20030050888"   "3573747"   "4412287"   "4674044"   "4903201"   "5077665"   "5136501"   "5689652"   "5727165"   "5794207"   "5809483"   "5873071"   "5924082"   "5926801"   "5950178"   "5963923"   "6014643"   "6035287"   "6233566"   "6343278"   "6405180"   "6408282"   "6418419"   "6505174").PN. OR ("7080050").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:31
S36	61	S30 or S31 or S32 or S33 or S34 or S33	US-PGPUB; USPAT	OR	ON	2007/07/13 07:32
S37	3596379	@ad< "20011114"	US-PGPUB; USPAT	OR	ON	2007/07/13 07:34
S38	57	S36 and S37	US-PGPUB; USPAT	OR	ON	2007/07/13 07:34
S39	0	("2002/0128947").URPN.	USPAT	OR	ON	2007/07/13 07:34
S40	2	("2002/0091616").URPN.	USPAT	OR	ON	2007/07/13 07:34
S41	1	("2001/0025266").URPN.	USPAT	OR	ON	2007/07/13 07:34
S42	25	("20020091616"   "20020099640"   "20020184126"   "20030126062"   "20040044505"   "20040049448"   "5214579"   "5765165"   "5806048"   "5873071"   "5875437"   "5937159"   "5987435"   "6047270"   "6061662"   "6061663"   "6088685"   "6108648"   "6205439"   "6244986"   "6317728"   "6377907"	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34

		"6456982"   "6584502"   "6606615").PN. OR ("7099838").URPN.				
S43	7	("5214579"   "5806048"   "5875437"   "5937159"   "6047270"   "6317728"   "6456982").PN. OR ("6941280").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S44	11	("20010025266"   "5262942"   "5806048"   "5946667"   "5963923"   "5983204"   "6016483"   "6088685"   "6092056"   "6125355"   "6236972"). PN. OR ("6879964"). URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S45	6	("20010025266"   "20020091616"   "6035287"   "6278983"   "6941280"   "7099838"). PN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S46	33	("4412287"   "4674044"   "5077665"   "5101353"   "5136501"   "5689652"   "5845266").PN. OR ("6035287").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S47	33	("4412287"   "4674044"   "5077665"   "5101353"   "5136501"   "5689652"   "5845266").PN. OR ("6035287").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S48	73	S39 or S40 or S41 or S42 or S43 or S44 or S45 or S46 or S47	US-PGPUB; USPAT; USOCR	OR	ON	2007/07/13 07:34
S49	67	S37 and S48	US-PGPUB; USPAT	OR	ON	2007/07/13 07:34
S50	67	S49	US-PGPUB; USPAT	OR	ON	2007/07/13 07:34
S51	19	S38 not S50	US-PGPUB; USPAT	OR	ON	2007/07/13 07:34
S52	726252	Barter\$10 or swap\$10 or exchange\$10	US-PGPUB; USPAT	OR	ON	2007/07/13 07:37
S53	26176	fund	US-PGPUB; USPAT	OR	ON	2007/07/13 07:37
S54	13019	S52 and S53	US-PGPUB; USPAT	OR	ON	2007/07/13 07:37
S55	5071	S54 and S37	US-PGPUB; USPAT	OR	ON	2007/07/13 07:37
S56	554	etf or exchange adj trad\$6 adj fund\$6 or exchange- trad\$6 adj fund\$6	US-PGPUB; USPAT	OR	ON	2007/07/13 07:38

S57	8588	spdr or standard near2 poor\$3 adj deposit\$6 adj receipt or ishares or nasdaq adj "100" or xact or diamond adj trust or adr or american near2 deposit\$6 adj receipt	US-PGPUB; USPAT	OR	ON	2007/07/13 07:38
S58	9091	S56 or S57	US-PGPUB; USPAT	OR	ON	2007/07/13 07:38
S59	593	(index or derivative) near3 fund	US-PGPUB; USPAT	OR	ON	2007/07/13 07:38
S60	9547	S56 or S57 or S58 or S59	US-PGPUB; USPAT	OR	ON	2007/07/13 07:40
S61	171	S55 and S60	US-PGPUB; USPAT	OR	ON	2007/07/13 07:40
S62	152	S61 not S50	US-PGPUB; USPAT	OR	ON	2007/07/13 07:40
S63	149	S62 not S51	US-PGPUB; USPAT	OR	ON	2007/07/13 07:40
S64	9495	705/26.ccls.	US-PGPUB; USPAT	OR	ON	2010/05/27 09:44
S65	12153	705/35-37.ccls.	US-PGPUB; USPAT	OR	ON	2010/05/27 09:44
S66	20748	S64 or S65	US-PGPUB; USPAT	OR	ON	2010/05/27 09:44
S67	1018	etf or exchange adj trad\$6 adj fund\$6 or exchange- trad\$6 adj fund\$6	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S68	11163	spdr or standard near2 poor\$3 adj deposit\$6 adj receipt or ishares or nasdaq adj "100" or xact or diamond adj trust or adr or american near2 deposit\$6 adj receipt	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S69	12056	S67 or S68	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S70	1179	(index or derivative) near3 fund	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S71	12922	S67 or S68 or S69 or S70	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S72	12922	S71	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S73	2362	creation adj unit	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S74	3917	net adj asset adj value or nav	US-PGPUB; USPAT	OR	ON	2010/05/27 09:46
S75	75	S72 and S73 and S74	US-PGPUB; USPAT	OR	ON	2010/05/27 09:51

S76	3611936	@ad<"20011114"	US-PGPUB; USPAT	OR	ON	2010/05/27 09:51
S77	73	S66 and S75	US-PGPUB; USPAT	OR	ON	2010/05/27 09:52
S78	8	S75 and S76	US-PGPUB; USPAT	OR	ON	2010/05/27 09:52
S79	8	S76 and S77	US-PGPUB; USPAT	OR	ON	2010/05/27 09:52
S80	16	("20010025266"   "20020004759"   "20020091616"   "20020128947"   "20020184126"   "6035287"   "6278983"   "6879964"   "6941280"   "6947901"   "7062459"   "7080050"   "7099838"   "7124106"   "7133847"   "7249086").PN.	US-PGPUB; USPAT	OR	ON	2010/05/27 09:54
S81	1	S78 not S80	US-PGPUB; USPAT	OR	ON	2010/05/27 09:54
S82	1	S79 not S80	US-PGPUB; USPAT	OR	ON	2010/05/27 09:54
S83	1000	etf or exchange adj trad\$6 adj fund\$6 or exchange- trad\$6 adj fund\$6	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:55
S84	8523	spdr or standard near2 poor\$3 adj deposit\$6 adj receipt or ishares or nasdaq adj "100" or xact or diamond adj trust or adr or american near2 deposit\$6 adj receipt	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:55
S85	9511	S83 or S84	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:55
S86	151	(index or derivative) near3 fund	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:56
S87	9645	S83 or S84 or S85 or S86	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:56
S88	2176	creation adj unit	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:56

S89	3932	net adj asset adj value or nav	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:57
S90	5	S87 and S88 and S89	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:57
S91	0	S76 and @ad<"20011114"	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/05/27 09:57

**5/ 27/ 2010 12:54:31 PM**

**H:\ WORKSPACES\ Workspaces\ 10077182ArbitragableTrackingSecurities2recovered.wsp**